1-3/-02 1-3/-02 Docket No. SEA-9-7-US-C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage Application of:

SHOFNER et al.

Serial No.: Not Assigned

Filed: September 26, 2001

For: CONTROLLED DELIVERIES AND DEPOSITIONS OF

PHARMACEUTICAL AND OTHER AEROSOLIZED MASSES

Asheville, North Carolina September 26, 2001

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

It is respectfully requested that the documents listed on the accompanying form PTO-1449 be considered by the Patent and Trademark Office in the above-entitled application and made of record therein pursuant to 37 CFR §1.97 and § 1.98. Full-text copies are enclosed. It is requested that the Examiner return a copy of form PTO-1449 after it has been peviewed.

RespectfuZly submitted,

Steven C. Schnedler, Attorney

Reg. No. 27,591

Carter & Schnedler, P.A.

56 Central Avenue, Suite 103

P.O. Box 2985

Asheville, NC 28802

Telephone: (828) 252-6225